IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Attorney's Prior Application: Anticipated Classificat Docket Examiner: L. Ben this Application: No. 2271/46440-Group Art Unit 2516 369 Subclass 112 71164 U.S. PTO COMMESSIONER OF PATENTS AND TRADEMARKS Patent Application ington, D. C. 20231 SIR: This is a request for filing a a.25.98 _____ DIVISIONAL application under: X_ CONTINUATION \underline{X} 37 C.F.R. § 1.60 ____ 37 C.F.R. § 1.62¹, of pending prior application Serial No. ______, filed on ______ September 23, 1994 of Yoshitaka TAKAHASHI, Hiroshi AKIYAMA, Masami EMOTO _____ for Inventor(s)

OPTICAL PICKUP APPARATUS

(Title of Invention)

Enclosed is a copy of the prior application as originally filed and an affidavit or declaration verifying it as a true copy (see Item 17, below).

A verified statement to establish small entity status under 37 C.F.R. § 1.9 AND 1.27

____ is enclosed.

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——— was filed in the prior application and such status is still proper and desired (37 C.F.R. 1.28(a)).

X The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					RATE			FEE	
	Number Filed		Number Extra*		SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
Total	13-20	=	0	x	\$ 11	\$ 22	=	\$	\$
Claims Indep.	2- 3	=	0	х	\$ 40	\$ 80	=	\$	\$
Claims Multiple Claims P	\$130	\$260	=	\$	\$				
If the difference in col. 1					BASIC FEE			\$ 385	\$ 770
is less than zero, enter "0" in Col. 2.					TOTAL			\$	\$ 770

^{1.} Filing an application pursuant to this section expressly abandons the parent application.



following fees associated with this application or credit an overpayment to Deposit Account No. 03-3125 X Any additional filing fees required under 37 C.F.R. \$1.16 X Any patent application processing fees under 37 C.F.R. \$1.17. The issue fee set forth in 37 C.F.R. \$1.18 at or befor mailing of the Notice of Allowance, pursuant to 37 C.F.R. \$1.311(b). 5. X Three copies of this sheet are enclosed. 6. X A check in the amount of \$ 770.00 is enclosed. 7. Cancel claims X Amend the specification by inserting before the first line the sentence: 1-This is a X continuation division of application Serial No. 08/311,050 filed 09-23-94 ************************************				
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		4.	<u> X</u>	The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Account No. $03-3125$.
The issue fee set forth in 37 C.F.R. §1.18 at or beformailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b). 5. X Three copies of this sheet are enclosed. 6. X A check in the amount of \$\frac{770.00}{270.00}\$ is enclosed. 7. Cancel claims X Amend the specification by inserting before the first line the sentence: 1 This is a X continuation				X Any additional filing fees required under 37 C.F.R. §1.16.
mailing of the Notice of Allowance, pursuant to 37 C.F.R \$1.311(b). 5. X Three copies of this sheet are enclosed. 6. X A check in the amount of \$\frac{770.00}{1000}\$ is enclosed. 7. Cancel claims X Amend the specification by inserting before the first line the sentence: \$\frac{1}{1}\$ This is a X continuation division of application Serial No. 08/311,050 filed 09-23-94 *** **Not				\underline{X} Any patent application processing fees under 37 C.F.R. §1.17.
6. X A check in the amount of \$\frac{770.00}{1000}\$ is enclosed. 7. Cancel claims X Amend the specification by inserting before the first line the sentence: \(\) This is a X continuation \(\) division of application before the first line the sentence: \(\) This is a X continuation \(\) division of application being a first line of the sentence: \(\) This is a X continuation \(\) division of application at the sentence: \(\) This is a X continuation \(\) division of application at the sentence is enclosed. 8. X \(\) \				The issue fee set forth in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
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Amend the specification by inserting before the first line the sentence: This is a X continuation division of application Serial No. 08/311,050 filed 09-23-94 NAT NO. 5/64/385 9. X 35 Sheet(s) of X informal X formal drawings is/ar enclosed. 10. Transfer the drawings from the prior application to thi application. Abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application filed 09/24/93, 09/30/93 and 10/28/93, respectively, in Japan is claimed under 35 U.S.C. § 119. X The certified copy of the priority application has been filed in prior application Serial No. 08/311,050, filed on 09-23-94 13. X The prior application is assigned of record to Ricoh Company, Ltd. 14. X A preliminary amendment is enclosed. 15. X The power of attorney in the prior application is to Ivan S Kavrukov, Reg. No. 25161; Thomas F. Moran, Reg. No. 16579 Christopher C. Dunham, Reg. No. 20616; Robert D. Katz, Reg. No. 30141; Peter J. Phillips, Reg. No. 29691, and each of them all c/o Cooper & Dunham, 1185 Avenue of the Americas, New York	ĺ	6.	<u> </u>	A check in the amount of \$ 770.00 is enclosed.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Yoshitaka TAKAHASHI, et al.

Serial No. : [CON of 08/311,050]

[CON of 08/311,050] Group Art Unit:

. Date Filed : Concurrently Herewith Examiner:

For : OPTICAL PICKUP APPARATUS

1185 Avenue of the Americas

New York, N.Y. 10036

Assistant Commissioner for Patents Washington, D.C. 20231 Box Patent Application

EXPRESS MAIL LETTER OF TRANSMITTAL

Express Mail mailing label number: EM030216840US

Date of Deposit: ______ July 16, 1997

I hereby certify that the above-identified application (which is a Rule 1.60 continuation of S.N. 08/311,050 filed 09-23-94), consisting of a 3-page continuation application (in triplicate), copy of parent application S.N. 08/311,050 filed 09-23-94, copy of the Declaration and Power of Attorney in the parent application, Preliminary Amendment, 35 sheets of formal drawings (and photocopy thereof), Information Disclosure Statement and Form PTO - 1449 and check for \$770 filing fee), is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Denley Glasgow Mail Room Employee

Depositing Express Mail Material

Attorney for Applicant:

Richard F. Jaworski, Reg. No. 33,515

Cooper & Dunham

Tel: (212) 278-0400

U.S. Case No.;
AP94-141 (Ricoh F. Number)

Application

for

United States Letters Patent

To whom it may concern:

Be it known that we, Yoshitaka Takahashi, Hiroshi Akiyama, and Masami Emoto, citizens of Japan respectively residing at Hirats-Corpo. 102, 67-10, utsukushigaoka 3-chome, Midori-ku, Yokohama-shi, Kanagawa-ken; Eda-ryo, 17-27, Eda-minami 2-chome Midori-ku, Yokohama-shi, Kanagawa-ken; and 630, Nakata-cho, Izumi-ku, Yokohama-shi, Kanagawa-ken, all Japan have invented certain new and useful improvements in

"OPTICAL PICKUP APPARATUS"

of which the following is a full, clear and exact description.